

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No. 007034.00008)

In the Application of:	)	
	)	
Dulac, et al.	)	Examiner:
	)	TBA
Serial No.	)	
TBA (U.S. national phase based on	)	Art Unit:
PCT/FR2004/003003)	)	TBA
Filed:	)	
November 24, 2004	)	
For:	)	
Aluminium Alloy Strip for Brazing	)	
	)	
	)	
	)	

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. SECTIONS 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Sections 1.97 and 1.98, the Applicants wish to make the following references of record in the above-identified application as a result of the International Search Report (A copy of which is enclosed), in the corresponding PCT patent application No. PCT/FR2004/003003 and as a result of the Preliminary Search Report (A copy of which is enclosed) in the corresponding French Patent Application No. FR 0314001.

This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited and listed on the enclosed and completed Form-PTO/SB/08A are enclosed.

In accordance with MPEP §§ 609 and 707.05(b), it is requested that the documents cited be given thorough consideration and that they be cited of record in the prosecution history of the present application by initialing by the Examiner on Form-PTO/SB/08A, so that they will appear on the face of the patent issuing on the present application.

The present disclosure statement is being submitted on compliance with 37 C.F.R. §1.56 as an Examiner might consider the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of such documents is not to be construed as an admission that such documents are necessarily relevant or prior art. No representative is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art, and in the course of such search, will review for relevance the documents cited on the attached form even if not initialed.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits.

Applicants believe no fees are due. However, the Commissioner is authorized to charge any fees that may be due to our Deposit Account No. 19-0733.

Respectfully submitted,  
BANNER & WITCOFF, LTD.

Date: May 26, 2006

By: /Rebecca P. Rokos/

Rebecca P. Rokos, Reg. No. 42,109

10 S. Wacker Drive, Suite 3000

Chicago, Illinois 60606-7407

Telephone: 312.463.5000

Facsimile: 312.463.5001

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/FR2004/003003

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B23K1/00 B32B15/00 C22C21/00 C23C28/00 C23C30/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B23K B32B C22C C23C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99/60323 A (REYNOLDS METALS COMPANY; SUBHASISH, SIRCAR) 25 November 1999 (1999-11-25)	1, 7, 8
Y	page 3, last paragraph - page 4, paragraph 1 page 5, paragraph 2 examples N,P; table 1 page 13, last paragraph	2-6, 9
Y	WO 00/71784 A (CORUS ALUMINIUM WALZPRODUKTE GMBH; MOOIJ, JOOP, NICOLAAS; WITTEBROOD,) 30 November 2000 (2000-11-30) page 1, lines 6-8, 19-23 page 2, line 24 page 7, line 4 page 15, last paragraph examples 3,4; table 1 page 31, lines 6-12	2-6, 9

-/-

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

22 April 2005

Date of mailing of the international search report

02/05/2005

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Rolle, S

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/FR2004/003003

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 02/060639 A (CORUS ALUMINIUM WALZPRODUKTE GMBH; WITTEBROOD, ADRIANUS, JACOBUS; WIJE) 8 August 2002 (2002-08-08) abstract	1-9
A	----- PATENT ABSTRACTS OF JAPAN vol. 2000, no. 13, 5 February 2001 (2001-02-05) & JP 2000 303132 A (KOBE STEEL LTD; SHINKO ARUKOA YUSO KIZAI KK), 31 October 2000 (2000-10-31) abstract -----	1-9

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/FR2004/003003

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9960323	A	25-11-1999	US 6065534 A	23-05-2000
			EP 1080336 A1	07-03-2001
			JP 2002515550 T	28-05-2002
			WO 9960323 A1	25-11-1999
			US 6409966 B1	25-06-2002
			US 6623693 B1	23-09-2003
WO 0071784	A	30-11-2000	AT 243268 T	15-07-2003
			AU 757702 B2	06-03-2003
			AU 4567100 A	12-12-2000
			BR 0010818 A	05-03-2002
			CA 2374853 A1	30-11-2000
			DE 60003432 D1	24-07-2003
			DE 60003432 T2	17-06-2004
			DK 1198625 T3	06-10-2003
			WO 0071784 A2	30-11-2000
			EP 1198625 A2	24-04-2002
			ES 2199830 T3	01-03-2004
			HU 0201857 A2	28-10-2002
			JP 2003500216 T	07-01-2003
			MX PA01011258 A	12-08-2002
			PT 1198625 T	28-11-2003
			US 2002037425 A1	28-03-2002
			ZA 200109002 A	31-10-2002
			AU 3173701 A	24-09-2001
			CA 2402205 A1	20-09-2001
			CN 1416377 A	07-05-2003
			WO 0168312 A2	20-09-2001
			EP 1265725 A1	18-12-2002
			JP 2003526519 T	09-09-2003
			MX PA02008389 A	28-01-2003
			US 2001040180 A1	15-11-2001
			ZA 200206694 A	21-08-2003
WO 02060639	A	08-08-2002	BR 0206840 A	29-06-2004
			CA 2436513 A1	08-08-2002
			CN 1489505 A	14-04-2004
			WO 02060639 A1	08-08-2002
			EP 1360029 A1	12-11-2003
			JP 2004517734 T	17-06-2004
			US 2002175205 A1	28-11-2002
			ZA 200305083 A	30-06-2004
JP 2000303132	A	31-10-2000	NONE	



# RAPPORT DE RECHERCHE PRÉLIMINAIRE

établi sur la base des dernières revendications  
déposées avant le commencement de la recherche

N° d'enregistrement  
national

FA 642882  
FR 0314001

DOCUMENTS CONSIDÉRÉS COMME PERTINENTS		Revendication(s) concernée(s)	Classement attribué à l'invention par l'INPI
Catégorie	Citation du document avec indication, en cas de besoin, des parties pertinentes		
X	PATENT ABSTRACTS OF JAPAN vol. 2000, no. 13, 5 février 2001 (2001-02-05) & JP 2000 303132 A (KOBE STEEL LTD; SHINKO ARUKOA YUSO KIZAI KK), 31 octobre 2000 (2000-10-31) * abrégé *	1-9	
X	US 2001/040180 A1 (WIJENBERG JACQUES HUBERT OLGA ET AL) 15 novembre 2001 (2001-11-15) * alinéa '0043!; revendication 1 *	1-9	
D, A	WO 02/40729 A (PECHINEY RHENALU) 23 mai 2002 (2002-05-23) * abrégé *	1-9	
			<b>DOMAINES TECHNIQUES RECHERCHÉS (Int.CL.7)</b>  B23K B32B C22C C23C
Date d'achèvement de la recherche		Examineur	
19 avril 2004		Rolle, S	
<b>CATÉGORIE DES DOCUMENTS CITÉS</b> X : particulièrement pertinent à lui seul Y : particulièrement pertinent en combinaison avec un autre document de la même catégorie A : arrière-plan technologique O : divulgation non-écrite P : document intercalaire T : théorie ou principe à la base de l'invention E : document de brevet bénéficiant d'une date antérieure à la date de dépôt et qui n'a été publié qu'à cette date de dépôt ou qu'à une date postérieure. D : cité dans la demande L : cité pour d'autres raisons & : membre de la même famille, document correspondant			

# ANNEXE AU RAPPORT DE RECHERCHE PRÉLIMINAIRE

RELATIF A LA DEMANDE DE BREVET FRANÇAIS NO. FR 0314001 FA 642882

La présente annexe indique les membres de la famille de brevets relatifs aux documents brevets cités dans le rapport de recherche préliminaire visé ci-dessus.

Les dits membres sont contenus au fichier informatique de l'Office européen des brevets à la date du 19-04-2004

Les renseignements fournis sont donnés à titre indicatif et n'engagent pas la responsabilité de l'Office européen des brevets, ni de l'Administration française

Document brevet cité au rapport de recherche		Date de publication	Membre(s) de la famille de brevet(s)	Date de publication
JP 2000303132	A	31-10-2000	AUCUN	
US 2001040180	A1	15-11-2001	AT 243268 T	15-07-2003
			AU 3173701 A	24-09-2001
			AU 757702 B2	06-03-2003
			AU 4567100 A	12-12-2000
			BR 0010818 A	05-03-2002
			CA 2374853 A1	30-11-2000
			CA 2402205 A1	20-09-2001
			CN 1416377 T	07-05-2003
			DE 60003432 D1	24-07-2003
			DK 1198625 T3	06-10-2003
			WO 0071784 A2	30-11-2000
			WO 0168312 A2	20-09-2001
			EP 1198625 A2	24-04-2002
			EP 1265725 A1	18-12-2002
			ES 2199830 T3	01-03-2004
			HU 0201857 A2	28-10-2002
			JP 2003500216 T	07-01-2003
			JP 2003526519 T	09-09-2003
			PT 1198625 T	28-11-2003
			US 2002037425 A1	28-03-2002
			ZA 200206694 A	21-08-2003
			AU 8176901 A	26-11-2001
			BR 0110836 A	30-12-2003
			CA 2346587 A1	18-11-2001
			CN 1427901 T	02-07-2003
			WO 0188226 A2	22-11-2001
			EP 1290246 A1	12-03-2003
			HU 0300706 A2	28-07-2003
			JP 2003533597 T	11-11-2003
			US 2002012811 A1	31-01-2002
			US 2003091856 A1	15-05-2003
			US 2002102431 A1	01-08-2002
			US 2002086179 A1	04-07-2002
WO 0240729	A	23-05-2002	FR 2816534 A1	17-05-2002
			AU 1836902 A	27-05-2002
			CA 2429174 A1	23-05-2002
			DE 1339887 T1	22-04-2004
			EP 1339887 A1	03-09-2003
			WO 0240729 A1	23-05-2002
			HU 0303394 A2	28-01-2004
			NO 20031951 A	25-06-2003
			US 2003121572 A1	03-07-2003
			US 2002056492 A1	16-05-2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2006-05-26
First Named Inventor	Dulac	
Art Unit		
Examiner Name		
Attorney Docket Number		007034.00008

**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6764558	A	2004-07-20	Pechiney Rhenalu	US Equivalent to WO 02/40729 A
	2	6923876	A	2005-08-02	Pechiney Rhenalu	US Equivalent to WO 02/40729 A

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010040180	A1	2001-11-15	Wijenberg Jacques Hubert Olga, et al.	Paragraph 43; Claim 1

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	99/60323	WO	A	1999-11-25	Reynolds Metals Company et al.	Page 3, last paragraph – Page 4, paragraph 1 Page 5, paragraph 2 Examples N, P; table 1 Page 13, last paragraph	<input type="checkbox"/>
	2	00/71784	WO	A	2000-11-30	Corus Aluminium Walzprodukte GmbH et al.	Page 1, line 6-8, 19-23 Page 2, line 24 Page 7, line 4 Page 15, last paragraph Examples 3, 4; table 1	<input type="checkbox"/>



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2006-05-26
First Named Inventor	Dulac	
Art Unit		
Examiner Name		
Attorney Docket Number		007034.00008

	3	02/060639	WO	A	2002-08-08	Corus Aluminium Walzprodukte GMBH et al.	Abstract	<input type="checkbox"/>
	4	02/40729	WO	A	2002-05-23	Pechiney Rhenalu	Abstract	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Patent Abstract of Japan. Vol. 2000, no.13, February 5, 2001 & JP 2000 303132 A (Kobe Steel Ltd., et al.) October 31, 2000. Abstract	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2006-05-26
First Named Inventor	Dulac	
Art Unit		
Examiner Name		
Attorney Docket Number		007034.00008

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ None

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**